PTO/SB/05 (2/98) Approved for use through 09/30/2000. OMB 0651-0032

and Trademark Office: U.S. DEPARTMENT OF COMMERCE

UTILITY PATENT APPLICATION **TRANSMITTAL**

(Only for new nonprovisional applications under 37C.F.R. §1.53(b))

PC 10760A Attorney Docket No. First Named Inventor or Application Identifier J. C. KATH SUBSTITUTED BICYCLIC DERIVATIVES FOR THE TREATMENT OF ABNORMAL CELL GROWTH Express Mail Label No. EL162814

APPLICATION ELEMENTS ADDRESS TO: Assistant Commissioner for Pigents ADDRESS TO: Assistant Commissioner for Pigents ASSISTANT Commissioner ASSISTANT Commissioner for Pigents ASSISTANT Commissioner for Pigents ASSISTANT Commissioner ASSISTANT Commissioner for Pigents ASSISTANT Commissioner ASSISTANT Commissioner ASSISTANT Commissioner for Pigents ASSISTANT Commissioner ASSISTANT Commissione			•		_					_0=	
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Total Pages Specification Total Pages Statement reaches	1.	*Fee Transmit	tal Form (e.g., <i>PTO/SE</i>	V17)	6.		Microfiche Cor			30	
- Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R&D - Reference in Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filled) - Detailed Description - Claim(s) - Abstract of the Disclosure 3.	2.	Specification	[Total Page	s [84]	7.				quence Submissio	60	
- Statement Regarding Fed sponsored R&D - Reference in Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure - Claim(s) - Coath or Declaration - Coath or De		- Descriptive	title of the Invention			a.	Comp	uter Readabl	е Сору	()	
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Brief Summary of the Invention Brief Description of the Drawings (if filed) Detailed Description Claim(s) Abstract of the Disclosure 10. English Translation Document (if applicable) 11. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations 12. Preliminary Amendment 13. Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 14. Oath or Declaration (Total pages		- Reference in Microfiche Appendix			_						
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4. Oath or Declaration (Total pages 1 1 2. Preliminary Amendment 1 3. Return Receipt Postcard (MPEP 503) (Should be specifically Itemized) b. Copy from a prior application (37 CFR §1.53(d)) b. Copy from a prior application (37 CFR §1.53(d)) i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §51.53(d)(2) and 1.33(b). 5. Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein. **NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS RELIED UPON 67 C.F.R. § 1.27), EXCEPT IN ORDER TO application Information: Examiner Group/Art Unit: 18. CORRESPONDENCE ADDRESS Coustomer Number or Bar Code Label Continuation - In-part (CIP) Correspondence address below		- Abstract of	the Disolocule		10	o	English Transl	ation Docum	ent (<i>if applicable</i>)		
a. Newly executed (original or copy) b. Copy from a prior application (37 CFR §1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below] i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§1.63(d)(2) and 1.33(b). 5. Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein. 17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment (Incorporation By Reference therein) 18. CORRESPONDENCE ADDRESS Continuation	3.	rawing(s) (35	U.S.C. 11.3)[Total she	ets []	1	1.					
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Status still proper and desired (for continuation/divisional with Box 17 completed) (Note Box 5 below)					1.	₄ Π			•	r applicatio	<u>"</u>
i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §\$1.63(d)(2) and 1.33(b). 5. Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein. NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON GT C.F.R. § 1.28). 17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment: Continuation			tinuation/divisional with Be		"	→	Statement(s)	Stat	•		^{///}
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b). 5. Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein. 16. Other: Priority Claim - APPLICANTS CLAIM PRIORITY FROM U.S. PROVISIONAL NO. 60/213136, FILED JUNE 20, 2000 17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment: 18. CORRESPONDENCE ADDRESS 19. Customer Number or Bar Code Label 19. Customer Number or Bar Code Label 19. Correspondence address below 10. Customer Number or Bar Code Label 10. Other: Priority Claim - APPLICANTS CLAIM PRIORITY FROM U.S. PROVISIONAL NO. 60/213136, FILED JUNE 20, 2000 10. Customer Number or Bar Code Label 10. Other: Priority Claim - APPLICANTS CLAIM PRIORITY FROM U.S. PROVISIONAL NO. 60/213136, FILED JUNE 20, 2000 11. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment: 19. Continuation Divisional Continuation-in-part (CIP) of prior application No:/	1 1			1.		•					
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18. CORRESPONDENCE ADDRESS Customer Number or Bar Code Label Correspondence address below Correspondence address below Address Pfizer Inc Address 235 East 42nd Street, 20th Floor City New York Stat New York Zip C de 10017-5755 Country United States Of America Teleph ne (212)573-2369 Fax (212)573-1939		Continuation	Divisional	Continuation	n-in-	-part (CIP)	of pri	or application	n No:/	_	
Customer Number or Bar Code Label Name Paul H. Ginsburg Address Pfizer Inc Address 235 East 42nd Street, 20th Floor City New York Stat New York Vunited States Of America City United States Of America City United States Of America (Insert Customer No. or Attach bar code label here) or Correspondence address below Fax Correspondence address below Correspondence address below Correspondence address below Fax Correspondence address below Correspondence address below Correspondence address below Fax Correspondence address below Correspondence address below Fax Correspondence address below Correspondence address below	Prior a	application info	ormation: Exam	iner		-		Group/Art (Jnit:		
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Signature

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FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997.	First Named Inventor				J. C. KATH			
Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.	Examiner Name				N/A			
See 37 C.F.R. §§ 1.27 and 1.28.	Group/Art Unit				N/A			
Total Amount of Payment (\$)728	Attorney Docket No.				PC 10760A			
METHOD OF PAYMENT (check one)				FEE CAL	CULATION (continued)			
1. The commissioner is hereby authorized to charge	3. ADDITIONAL FEES				· · · · · ·			
indicated fees and credit any over payments to:		Large Entity Sm		Entity				
Deposit Account 16-1445		Fee (\$)	Fee Code	Fee (\$)	Fee Description	n	Fee Paid	
Number L		(*/		(*/	, 00 2000 p 20.	•		
Deposit	105	130	205	65	Surcharge – late fee or o	oath		
Account Name PFIZER INC.					.			
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☐ Charge Any Additional ☐ Charge the Issue Fee Set in	127	50	227		Surcharge—late provisior cover sheet	iai ming tee or		
Fee Required Under 37 C.F.R. § 1.18 at the Mailing	139	130	139	130	Non-English specificatio	n		
37 C.F.R. §§ 1.16 and 1.17. of the Notice of Allowance.	147	2,520	147		For filing a request for re			
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2. Payment Enclosed:	112	920*	112		Requesting publication of Examiner action	of SIR prior to		
☐ Check ☐ Money Order ☐ Other	113 1	1,840*	113	1,840*	Requesting publication of	of SIR after		
FEE CALCULATION	115	110	215	55	Examiner action	a firat manth		
1. BASIC FILING FEE	116	390	215 216		Extension for reply within			
1. BASIC FILING FEE	''°	390	210		Extension for reply within month	i secona		
Large Entity Small Entity	117	890	217	445	Extension for reply within	n third month		
Fee Fee Fee Fee Description Fee Paid	118	1,390	218	695	Extension for reply within	n fourth month		
Code (\$) Code (\$)				2.15				
101 710 201 355 Utility filing fee 710	128	1,890	228	945	Extension for reply within	n fifth month	. []	
106 320 206 160 Design filing fee	119	310	219	155	Notice of Appeal			
107 490 207 245 Plant filing fee	120	310	220	155	Filing a brief in support of	of an appeal		
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108 710 208 355 Reissue filing fee	121	270	221	135	Request for oral hearing			
114 150 214 75 Provisional filing fee	138	1,510	138		Petition to institute a pub proceeding	olic use		
SUBTOTAL (1) (\$) 710	140	110	240	55	Petition to revive - unavoidable			
2. EXTRA CLAIM FEES	141	1,240	241	620	Petition to revive - unintentional			
Extra Fee from	142	1,240	242	620	Utility issue fee (or reiss	ue)		
Claims below Fee Paid								
Total Claims 21 -20**= 1 X 18 = 18	143	440	243	220	Design issue fee			
Independent 1 - 3**= X = 0	144	600	244	300	Plant issue fee			
Claims	122	130	122	130	Dotitions to the Commiss			
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** or number previously paid, if greater, For Reissues, see below Large Entity Small Entity	123	50	123		Petitions related to provi applications	sional		
Fee Fee Fee Fee Description	126	240	126	240	Submission of Information	on Disclosure		
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	581	40	581		Statement Recording each patent a	ssinnment ner		
					property (times number	of properties)		
102 80 202 40 Independent claims in excess of 3	146	710	246		Filing a submission after (37 CFR 1.129(a))	final rejection		
104 270 204 135 Multiple dependent claim, if not paid	149	710	249	355	For each additional inve			
109 80 209 40 **Reissue independent claims over		Other Fee (specify)			examined (37 CFR 1.12			
original patent 110 18 210 9 **Reissue claims in excess of 20 and over original patent								
		specify)					
SUBTOTAL (2) (\$) 18	*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$)		0	
SUBMITTED BY					Complete (if Applicable)			
Type or Printed Name BRYAN C. ZIELINSKI					Reg. Number 34,462			
Signature	Date	JUN	E 18, 200	01	Deposit Account	16-1445		
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